

Adviser Profile

David Gates

This Adviser Profile is the second part of the Financial Services Guide (FSG) for your Securitor financial adviser, and must be read in conjunction with the first part of the FSG.

Are you authorised to provide Financial Services?

Yes. I am an authorised representative of Securitor.

My ASIC authorised representative identification number is 300477.

I am an employee/director of DCG Risk Advisory Pty Ltd trading as Gates Risk Management, which is an authorised representative (ASIC identification number 341149) of Securitor.

What areas are you authorised to advise on?

I am authorised by Securitor to provide Financial Services including advice under Securitor's licence on the following financial products: Managed Investments

- Superannuation
- Personal Insurance
- Managed Investments

In addition to the services listed on page 9 of the first part of the FSG, are there any services you are not authorised by Securitor to provide?

I am not authorised to provide advice, services or products in the following areas

- Shares
- Self Managed Superannuation Funds
- Mortgage Broking
- Finance Broking

What are your educational qualifications and experience?

I have the following qualifications:

I have 24 years experience as a financial planner.

How am I paid?

I receive a salary as an employee of Gates Risk Management.

I will charge a fee for a personal risk insurance Statement of Advice of \$500 and a fee for a business insurance Statement of Advice of up to \$3000 if you do not proceed with my recommendations.

NOTE: Full details of all fees and commissions for Financial Services will be provided to you in a Statement of Advice and Product Disclosure Statements at the time of receiving any recommendation.

What amounts do my employer and other related entities receive?

All fees, commissions and incentives are received by DCG Risk Advisory Pty Ltd as trustee for Gates Trust trading as Gates Risk Management.

I conduct my financial planning activities under the registered name of Gates Risk Management.

If you would like to make an appointment to discuss your financial needs and objectives in more detail, please contact me on 03 9555 6210.

I am located at 7 Le Page St, Moorabbin, Vic 3189

My email address is david@gatesriskmanagement.com.au

My website address is gatesriskmanagement.com.au

Date of completion of Profile:

26/07/2010

Confirmation form

Acknowledgements – Client Copy

I/we acknowledge that I was/we were provided with the Financial Services Guide dated 1st March 2010 and Adviser Profile dated 25| 07| 2010 (include date of completion of profile).

Client Name: _____

Client
Signature: _____

Date
received: _____

Client Name: _____

Client
Signature: _____

Date
received: _____

OR complete as follows if FSG is mailed to Client(s):

I confirm that I sent a copy of the Financial Services Guide dated 01/03/ 2010 and Adviser Profile dated 26| 07 | 2010 (include date of completion of profile) as follows:

Sent to (Client Name(s)): _____

Sent on (Date): _____

Sent by (Name): _____

Confirmation form

Detach copy for File

Acknowledgements – Adviser Copy to be retained on client file

I/we acknowledge that I was/ we were provided with the Financial Services Guide dated 1st March 2010 and Adviser Profile dated 25| 07| 2010(include date of completion of profile).

Client Name: _____

Client

Signature: _____

Date

received: _____

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